

***Pennsylvania's Home and  
Community Based  
Services  
Initiatives***

# HCB Services

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- Pennsylvania's Medical Assistance (MA) Program - health care payment and support services for many low-income families, children, older adults and for people with disabilities.
- MA is funded by state money and federal matching funds.

# **Growing demand for Long Term Living Services**

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- One in five Pennsylvania residents is over the age of 60 – the third highest of any state
- Pennsylvania's elderly population (85 years and older) will grow by 42% between 2000 and 2010
- 162,000 Pennsylvania adults have a disability that requires assistance with activities of daily living

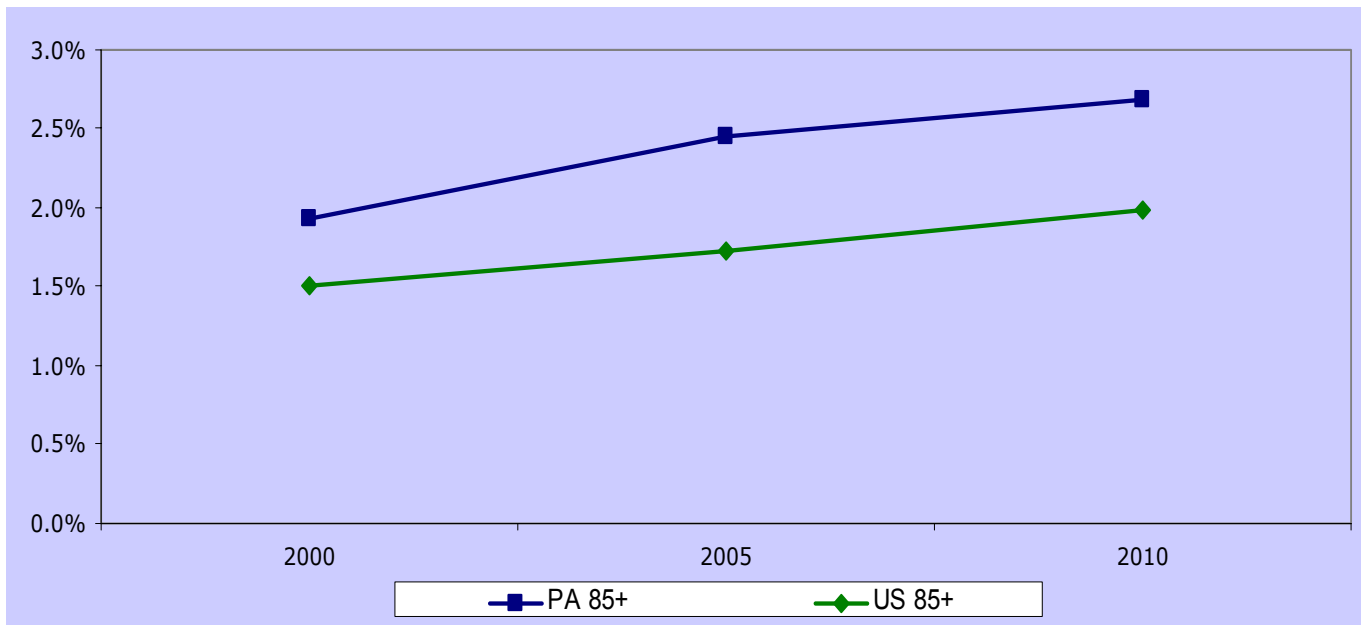
# State of the State

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- **Pennsylvanians lack information they need to plan for their long term care.**
  - More than 75% of Pennsylvanians do not know the cost of long term care.
  - Less than 3% of Pennsylvania adults have long term care insurance.
  - 7 in 10 people surveyed are not confident they would be able to afford the cost of nursing home care for even 1 year.

# State of the State

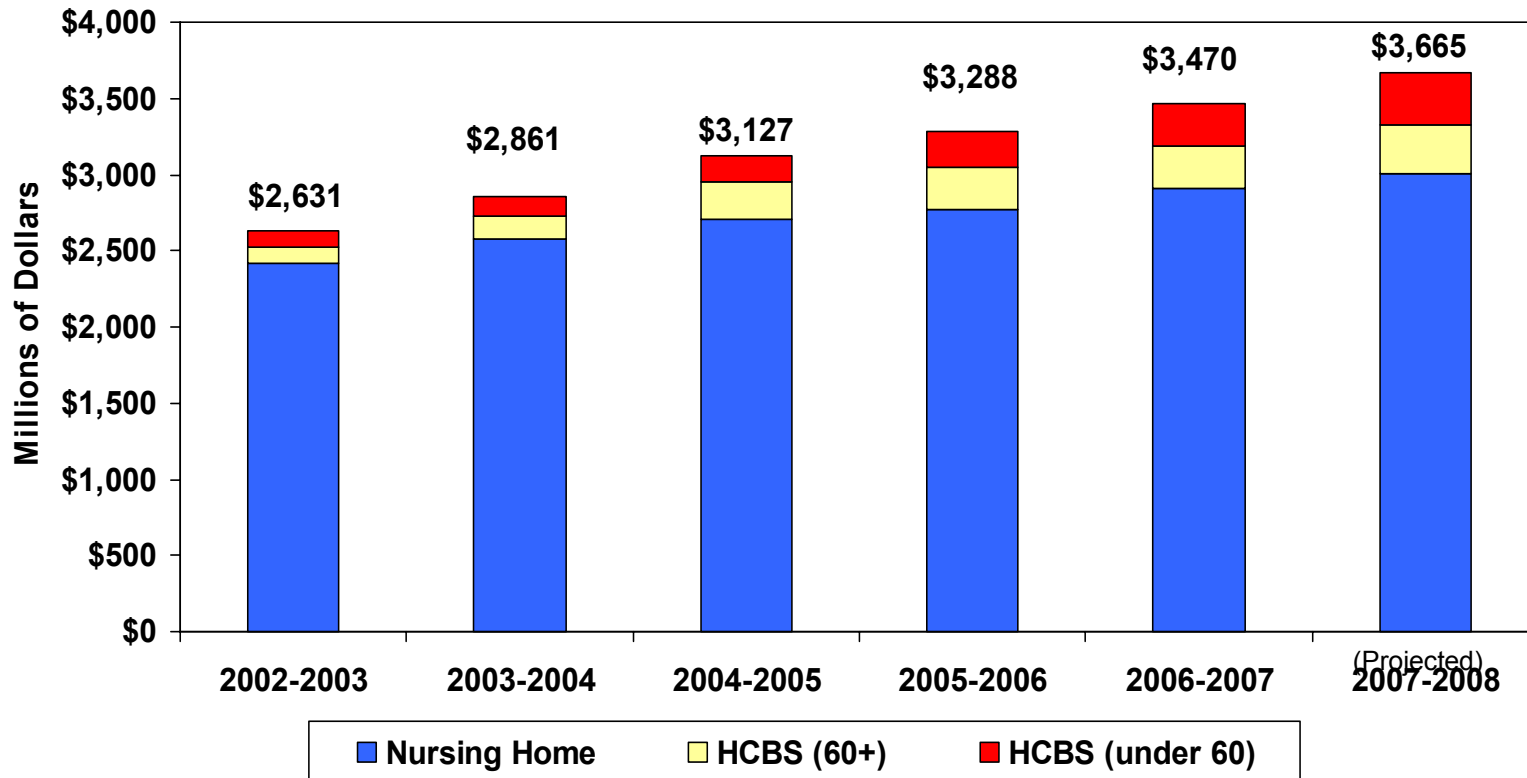
**By the year 2010, Pennsylvania's elderly population will grow by 42%, outpacing the national trend**



***Without aggressive reform efforts, by 2011 the cost to Pennsylvania taxpayers for long term care will grow by nearly \$500 million.***

# State of the State

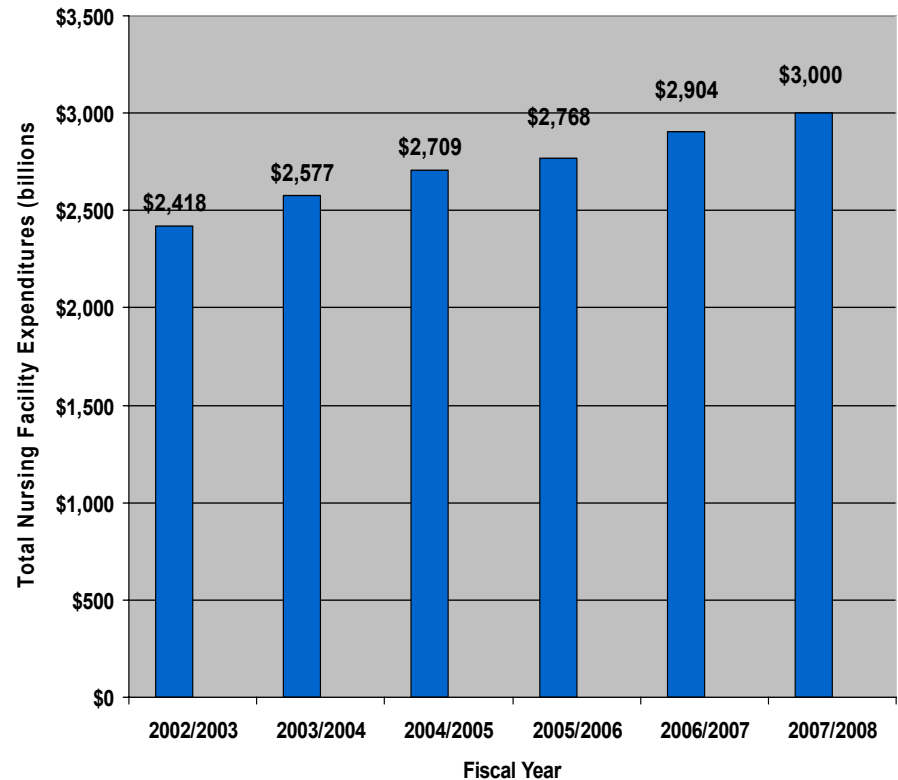
Growing Long Term Living costs: \$1 billion increase since 2002-2003



# State of the State

## Medicaid expenditures for nursing facility care in Pennsylvania are 25% above the national average

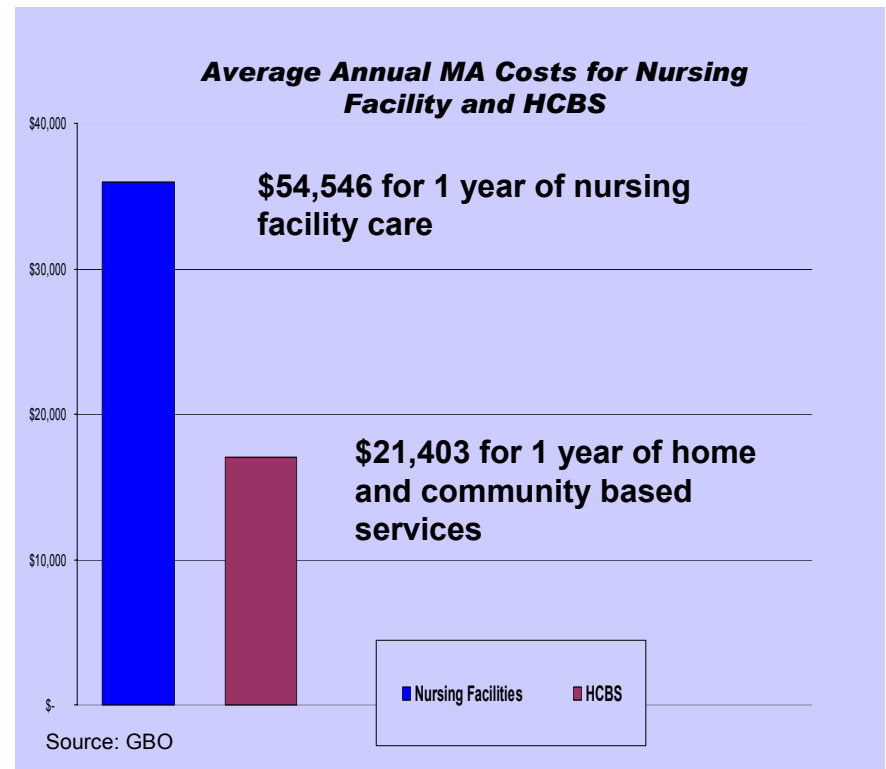
- Pennsylvania has the 2<sup>nd</sup> highest per capita spending on publicly funded nursing facility care
- Over 80% of MA LTL expenditures are for nursing facility care



# State of the State

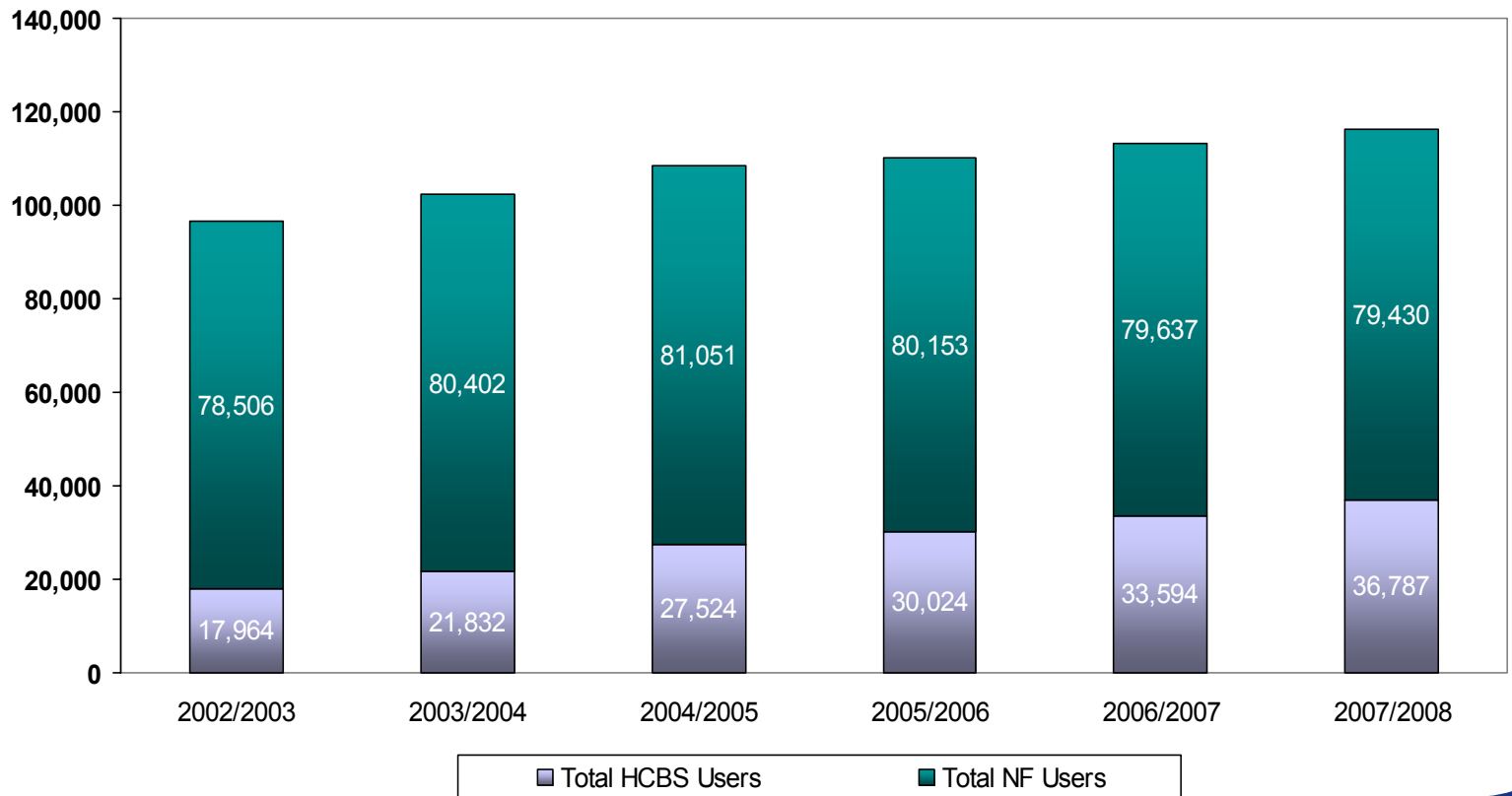
**Home and community based services are a preferred and cost effective way to provide long term living services.**

- According to a recent AARP study, 90% of Americans age 50 and older wish to stay in their current facility and community as they age.
- Over 18% of current nursing facility residents in Pennsylvania indicate a preference to return to the community.
- The Commonwealth can serve two older persons in the community for the cost of serving one in a nursing facility



# Progress

*Since 2002-2003, effective management of the LTL system has resulted in a 78% increase in the share of HCBS users while slowing the growth of nursing facility use*



Source: PA Department of Public Welfare

# **Pennsylvania's Progress**

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## **▪ Long Term Living Accomplishments**

- Created the Governor's Long Term Living Council to provide strategic direction to long term living initiatives
- Continued to expand the use of home and community based services
- Transitioned over 600 nursing facility residents to home and community based settings
- Expanded expedited application processes for home and community based services for the most at risk consumers
- Implemented a streamlined eligibility and care planning tool

# Choice

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- **Increase opportunities for Pennsylvanians to receive long term living services in home and community based settings**
  - Establish a goal of 50% home based care to 50% institutional care by FY2011-12
  - Invest \$3.8 million to assure that all nursing facility residents have access to information and support
  - Invest \$3.7 million to expand the Dom Care program as alternative housing resource
  - Invest \$400,000 to reform home modification policies and procedures
  - Pass an assisted living bill that expands options and offers consumer protections

# **Three principles for system reform**

- Consumers should have a choice of where they receive long term living services and those services should meet the highest quality standard
- The long term living system should be balanced so that all options are accessible and available
- State and federal funds should be prudently managed and individual assets should be optimized to ensure that the commonwealth is able to meet future needs of the aging population and people with disabilities

# **Accountability**

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- **The Office of Long Term Living is a joint office spanning the Departments of Aging and Public Welfare**
  - A single deputy jointly appointed in both agencies will be responsible for the coordinated oversight of the fiscal, policy and program operations of the long term living system for the elderly and adults over the age of 18 with physical disabilities.
  - Will promote consistency in policies and service delivery, improve efficiency and accelerate the pace of reform
  - Will further strengthen the coordination that has already begun under the direction of the Governor's Long Term Living Council

# **HCB Services**

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Currently, DPW operates 11 Home and Community-Based Services (HCBS) waiver programs that qualify for federal matching funds under 42 U.S.C. § 1396n.

# HCB Services

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- Office of Long Term Living
  - Attendant Care\*
  - Independence Waiver
  - “OBRA” Waiver
  - “COMMCARE” Waiver
  - AIDS Waiver
  - Elwyn Waiver
  - Michael Dallas Waiver
  - 60+ Waiver (PDA)
- Office of Developmental Programs
  - Consolidated Waiver
  - Person/Family Directed Support Waiver
  - Infants, Toddlers and Families Waiver (Office of Child Development)\*

# HCB Services

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- Each HCBS waiver has both financial eligibility criteria.
- The financial criteria specify the income and asset/resource eligibility limits.
- The functional eligibility criteria differ from program to program.

# Attendant Care Waiver

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- **Functional Eligibility**
  - Age 18 to 59 years old
  - Physically disabled
  - Mentally alert and able to manage their own care
  - Eligible for nursing facility services
- **Services**
  - Attendant Care Services
  - Supports Coordination
  - Personal Emergency Response System

# Independence Waiver

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- Functional Eligibility
  - Age 18 years old and older
  - Severe **physical** disability that is likely to continue indefinitely
  - Substantial functional limitations in three or more major life activities
  - Eligible for nursing facility services
  - Cannot be ventilator dependent
  - Primary diagnosis cannot be mental illness or mental retardation

# Independence Waiver

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- Services
  - Assistive Technology/Specialized Medical Equipment and Supplies
  - Community Integration
  - Community Transition Services
  - Daily Living Services
  - Education Services
  - Environmental Adaptations
  - Non-Medical Transportation
  - Personal Emergency Response Systems
  - Respite Services
  - Service Coordination
  - Therapies: Behavioral, Occupational, Physical, Speech
  - Visiting Nurse

# “OBRA” Waiver

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- Functional Eligibility
  - Age 18 years old and older
  - Severe **developmental** disability that is likely to continue indefinitely
  - Disability develops before the age of 22 years old
  - Substantial functional limitation in three or more major life activities
  - Primary diagnosis cannot be mental illness or mental retardation
  - Requires Intermediate Care Facility/Other Related Conditions level of care (not nursing facility placement).

# “OBRA” Waiver

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- Services
  - Adult Day Services
  - Assistive Technology/Specialized Medical Equipment and Supplies
  - Community Integration
  - Community Transition Services
  - Daily Living Services
  - Education Services
  - Environmental Adaptations
  - Non-Medical Transportation
  - Personal Emergency Response Systems
  - Prevocational Services
  - Respite Services
  - Service Coordination
  - Therapies: Behavioral, Occupational, Physical, Speech
  - Visiting Nurse

# “COMMCARE” Waiver

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- Functional Eligibility
  - Age 21 years old and older
  - Primary diagnosis of Traumatic Brain Injury
  - Free of mechanical ventilation
  - Substantial functional limitations in three or more major life activities.
  - Live in an appropriate community setting

# “COMMCARE” Waiver

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- Services
  - Assistive Technology/Specialized Medical Equipment and Supplies
  - Behavioral Specialist Consultation
  - Cognitive Therapy
  - Chore Services
  - Coaching and Cueing
  - Community Integration
  - Community Transition Services
  - Counseling (consumer/family)
  - Education Services
  - Environmental Adaptations
  - Night Supervision
  - Habilitation and Support
  - Non-Medical Transportation
  - Part-time Nursing
  - Personal Care Services
  - Personal Emergency Response Systems
  - Prevocational Services
  - Respite Services
  - Service Coordination
  - Supported Employment Services
  - Structured Day Program
  - Therapies: occupational, physical, speech

# AIDS Waiver

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- Functional Eligibility
  - Individuals with symptomatic HIV and AIDS
  - Over the age of 21 and older (formerly < 65).
- Services
  - Supplemental Skilled Nursing Visits
  - Supplemental Home Health Aide Visits
  - Homemaker Services
  - Special Medical Equipment and Supplies
  - Nutritional Consultations by Registered Dietitians
  - Transition Services

# Elwyn Waiver

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- Functional Eligibility
  - Individuals who are Deaf, Blind or both.
  - Age 40 and older
  - Must be nursing home eligible
  - Resides at Valley View Assisted Living, Delaware County
  
- Services
  - Personal Care Services
  - Counseling Services
  - Home Health Services
  - Therapeutic Social and Recreational Programming
  - Special Medical Equipment and Supplies
  - Transportation Services

# Michael Dallas Waiver

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- **Functional Eligibility**
  - All ages
  - Individual satisfies technology – dependent guidelines that are determined by the Department
  
- **Services**
  - Private Duty Nursing
  - Case Management
  - Attendant Care
  - Respite Care
  - Durable Medical Equipment
  - Transition Services

# **Pennsylvania Department of Aging (PDA), 60+ Waiver**

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- **Functional Eligibility**
  - Individuals age 60 or older.
  - Individuals who are determined to be clinically eligible for nursing facility level services

# **Pennsylvania Department of Aging (PDA), 60+ Waiver**

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## ■ Services

- Personal Care Services
- Respite Care
- Older Adult Daily Living Centers
- Environmental Modifications
- Personal Emergency Response System
- Companion Services
- Extended State Plan Physician Services
- Counseling Services
- Home Support Services
- Home Health Services
- Home Delivered Meals
- Attendant Care Services
- Specialized Medical Equipment and Supplies
- Transportation Services
- Transition Services

# Consolidated Waiver for Individual with Mental Retardation

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- Functional Eligibility
  - Age 3 and older
  - Eligible for Intermediate Care Facility / Mental Retardation level of care as determined by county Mental Health/ Mental Retardation program - IQ of 70 or below
  - Significant limitations in three or more of the following areas: Self care, receptive and expressive language, learning, mobility, self-direction, capacity for living, and economic self-sufficiency
  - Documentation to substantiate that the individual had these conditions manifest during the developmental period (birth to 22nd birthday).

# **Consolidated Waiver for Individual with Mental Retardation**

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- **Services**
  - Service Management
  - Respite Care
  - Community Habilitation
  - Minor Physical Adaptations for Homes and Autos
  - Permanency Planning for Children
  - Therapy Services, including Visiting Nurse
  - Transportation Services

# Person / Family Directed Support Waiver

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- Functional Eligibility
  - Age 3 and older
  - Mental retardation
  - Individuals do not require care in licensed community settings

# Person / Family Directed Support Waiver

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- Services
  - Personal Support
  - Habilitation (Day, Pre-vocational, Supported Employment)
  - Respite
  - Environmental Accessibility Adaptations
  - Transportation
  - Extended State Plan (Physical, occupational and speech, hearing, and language)
  - Other services: Visual/mobility, behavioral, visiting nurse, adaptive appliances and equipment and homemaker/chore services

# Infants, Toddlers and Families Waiver

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- Functional Eligibility
  - Age Birth to 3rd Birthday
  - Need for early intervention services
  - ICF/MR level of care for Mental Retardation and related conditions
- Services - Habilitation services by qualified professionals with family/caregiver participation

***Program of All-Inclusive  
Care for the Elderly  
(PACE)***

*Known in Pennsylvania as*  
Long Term Care Capitated Assistance  
Program (LTCCAP)

# **PACE/LTCCAP**

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- **Managed Care model for the frail elderly.**
- **Integrates Medicare and Medicaid funding through monthly, capitated payments.**
- **Provides preventative, primary, acute and long term care services.**
- **Goal is to enable frail, older adults to live in their home and in the community as long as feasible by providing a comprehensive all-inclusive package of services to meet their needs.**

# **PACE/LTCCAP**

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- **1980's demonstration project – initially “On Lok Senior Health Services” in San Francisco.**
- **Balanced Budget Act of 1997 (Pub. Law 105-33) made PACE a Medicare provider type and a Medicaid state option.**
- **Medicare, Medicaid, and SCHIP Benefits Improvement Act of 2000 (Pub. Law 106-554) established a process for waiver of certain regulatory requirements and transition to permanent provider status, among other things.**
- **Medicare Prescription Drug Improvement Act of 2003 (Pub. Law 108-173) changes relating to prescription drugs.**
- **LTCCAP implemented in Pennsylvania in 1998.**

# PACE/LTCCAP

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- An interdisciplinary team jointly develops, implements and evaluates individualized care plans:
  - Primary care physician
  - Registered nurse
  - Social worker
  - Physical therapist
  - Occupational therapist
  - Recreational therapist
  - Dietician
  - PACE Center manager
  - Home care coordinator
  - Personal care attendant
  - Transportation driver
- Enrollee and family have active role in care plan.

# **PACE/LTCCAP**

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- The PACE Center is the focal point of service delivery.
- The PACE Center includes a primary care clinic and areas for therapies, recreation, socialization, personal care, dining and service coordination.

# PACE/LTCCAP

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- Other services:
  - Physician services
  - Optometry services
  - Dental services
  - Audiological services
  - Podiatry
  - Pharmaceuticals
  - DME
  - Emergency Care
  - Home Health services
  - Psychological services
  - Inpatient and outpatient hospital services
  - Nursing facility services.

# PACE/LTCCAP

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## Requirements:

- Federal Regulations – 42 C.F.R. Part 460 (71 FR 71244 (December 8, 2006) – final rule)
- DPW’s “Requests for Information.” See 36 Pa. Bulletin 450 (January 28, 2006)

# **PACE/LTCCAP**

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## **The Enrollee**

- **Age 55 and older**
- **Determined to be Nursing Facility-eligible.**
- **Reside in area served by PACE provider.**
- **Meet any other requirements under PACE program agreement.**
- **Determined by PACE provider to be able to be safely served in the community.**
- **Not restricted to Medicare/Medicaid-eligible individuals (individuals can pay privately).**

# PACE/LTCCAP

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## PACE Organizations

- Public or private, non-profit entity (possible waiver);
- be enrolled in the MA Program;
- satisfy requirements in PACE Protocol and the LTCCAP Provider Agreement; and
- have a feasibility study completed by an approved PACE Technical Assistance Center. The feasibility study must address:
  - potential demand in the market
  - the proposed organization's commitment, leadership and experience
  - the proposed organization's financial capacity
  - the project design
  - projected 5-year start up budget

# PACE/LTCCAP

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- In selection of PACE Organization, DPW will consider:
  - MA service need
  - MA service availability
  - Project location
  - Uniqueness of the project design
  - The implementation schedule
  - Solvency and fund risk reserve